

163  
Patent



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Customer No. 31561  
Attorney Docket No. 09048-US-PA  
Application No. 10/064,382

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Lee, et al.  
Application No. : 10/064,382  
Filed : 2002/7/9  
For : METHOD OF PREVENTING CATHODE OF ACTIVE  
MATRIX ORGANIC LIGHT EMITTING DIODE FROM  
BREAKING  
Examiner : Kennedy, Jennifer M.  
Art Unit : 2812

Office of Petitions  
2011 South Clark Place  
Customer Window, Mail Stop Petition  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington VA22202

Re: Petition to revive unavoidably abandoned application

Dear Sir,

Please be informed that a Petition to revive the unavoidably abandoned application under 37 CFR § 1.137 was first faxed to the assigned fax number (703) 308-6916 on November 28, 2003. The letter together with the fax confirmation report which proves a successful receipt of the correspondence is now being forwarded to the USPTO.

Enclosed please find:

- Fax confirmation report
- Prepaid return postcard
- Transmittal letter
- Copy of Petition
- Copy of Issue Fee transmittal
- Copy of Credit card payment form PTO-2038 for Issue fee
- Copy of Delivery Notification from the UPS
- Copy of UPS mailing receipt
- Copy of Notice of Abandonment
- Copy of Prepaid postcard returned by USPTO

**RECEIVED**

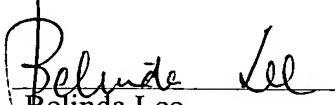
DEC 08 2003

**OFFICE OF PETITIONS**

Thank you for your attention and assistance in this matter. If there is any problem, please feel free to contact me.

Respectfully Submitted,  
JIANQ CHYUN Intellectual Property Office

Date: Dec. 1, 2003

By:   
Belinda Lee  
Registration No.: 46,863

**Please send future correspondence to:**  
**7F. -1, No. 100, Roosevelt Rd.,**  
**Sec. 2, Taipei 100, Taiwan, R.O.C.**  
**Tel: 886-2-2369 2800**  
**Fax: 886-2-2369 7233 / 886-2-2369 7234**

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**DEC 08 2003**  
**OFFICE OF PETITIONS**

**PART B - FEE(S) TRANSMITTAL**

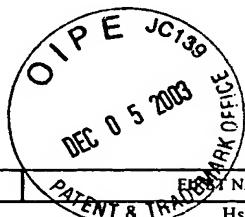
Complete and send this form, together with applicable fee(s), to: **Mail Box ISSUE FEE**  
**Commissioner for Patents**  
**Washington, D.C. 20231**  
**Fax (703)746-4000**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

31561 7590 02/07/2003

JIANQ CHYUN INTELLECTUAL PROPERTY OFFICE  
7 FLOOR-1, NO. 100  
ROOSEVELT ROAD, SECTION 2  
TAIPEI, 100  
TAIWAN



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/064,382	07/09/2002	Hsin-Hung Lee	9048-US-PA	4022

\*TITLE OF INVENTION: METHOD OF PREVENTING CATHODE OF ACTIVE MATRIX ORGANIC LIGHT EMITTING DIODE FORM BREAKING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$300	\$1600	05/07/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
KENNEDY, JENNIFER M	2812	438-030000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. \_\_\_\_\_  
2. Jiang Chyun IP Off  
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Au Optronics Corporation

Hsinchu, Taiwan, R.O.C.

Please check the appropriate assignee category or categories (will not be printed on the patent)  individual  corporation or other private group entity  government

ca. The following fee(s) are enclosed:

Issue Fee

Publication Fee

Advance Order - # of Copies 3

4b. Payment of Fee(s):

A check in the amount of the fee(s) is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Commissioner is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

*Hsin-Hung Lee* *April 26, 2003*  
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

## TRANSACTION REPORT

P. 01

NOV-28-2003 FRI 14:27

FOR: JCIPO Taiwan 886 2 2369 8454

DATE	START	RECEIVER	TX TIME	PAGES	TYPE	NOTE	MNO.	DP
NOV-28	14:23	00217033086916	3' 58"	11	SEND	OK	707	

TOTAL : 3M 58S PAGES: 11



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**JIANQ CHYUN Intellectual Property Office**7F. -1, No. 100, Roosevelt Rd. Sec. 2, Taipei, Taiwan, R.O.C.  
E-mail: jianq@ms2.hinet.net website: www.JCIPGroup.comTEL: +886-2-2369 2800  
FAX: +886-2-2369 7233/34**FAX TRANSMISSION SHEET**

November 28, 2003  
CUSTOMER NO.: 31561  
Application No.: 10/064,382  
Attorney Docket No.: 9048-US-PA  
Page(s): Cover +10

FAX NO.: 002-1-703-308-6916

TO: UNITED STATES PATENT AND TRADEMARK OFFICE  
Attn: Office of Petitions



群 智 權 事 務 所

**JIANQ CHYUN Intellectual Property Office**

7F. -1, No. 100, Roosevelt Rd. Sec. 2, Taipei, Taiwan, R.O.C.  
E-mail: jianq@ms2.hinet.net website: www.JCIPGroup.com

TEL: +886-2-2369 2800  
FAX: +886-2-2369 7233/34

### FAX TRANSMISSION SHEET

November 28, 2003

CUSTOMER NO.: 31561

**Application No.: 10/064,382**

Attorney Docket No.: 9048-US-PA

Page(s): Cover +10

FAX NO.: 002-1-703-308-6916

TO: UNITED STATES PATENT AND TRADEMARK OFFICE  
Attn: Office of Petitions

MESSAGE: **Petition to revive unavoidably abandoned application & Credit card payment form of US\$110.00 for the petition fee**

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Note: The facsimile transmission is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this transmission in error, please kindly notify us immediately, and return the original message to us at the above address. We greatly appreciate your cooperation.

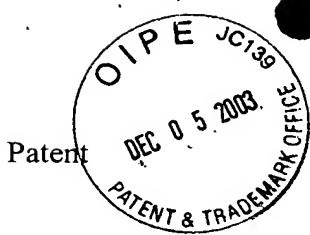
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群 智 權 事 務 所  
**JIANQ CHYUN IP GROUP**

ATTORNEY-CLIENT AND/OR WORK PRODUCT  
PRIVILEGED COMMUNICATION

TAIWAN Head Office Taipei	U.S.A. Irvine, CA	JAPAN Tokyo Kamakura Osaka	CHINA Beijing	KOREA Seoul	EUROPE Munich, Germany London, U.K. Paris, France	CANADA Ontario
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Patent

Customer No. 31561  
Attorney Docket No. 09048-US-PA  
Application No. 10/064,382

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Lee, et al.  
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BREAKING  
Examiner : Kennedy, Jennifer M.  
Art Unit : 2812

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Office of Petitions  
2011 South Clark Place  
Customer Window, Mail Stop Petition  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington VA22202

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Re: Petition to revive unavoidably abandoned application

**OFFICE OF PETITIONS**

Dear Sir,

According to the decision made by the USPTO, the Petition to Withdraw the Holding of Abandonment for the above-identified application was dismissed. Applicants would like to file a Petition to revive the unavoidably abandoned application under 37 CFR § 1.137.

The Fee Transmittal together with the Credit Card Payment Form PTO-2038 for Issue Fee for the subject application was mailed to the USPTO via UPS on April 29, 2003 and received on time by the USPTO prior to the due date, May 12, 2003. Enclosed is a copy of the UPS delivery notification, indicating the parcel was delivered to the USPTO at 9:34 AM on April 30, 2003 and signed by Mr. Brown. However, the prepaid return postcard indicated that the parcel was not directed to the proper office until May 22, 2003. As a result, the issue fee was not charged on time.

We believe the delay of the parcel to the appropriate office was possibly due to the address change of the PTO during that period of time, which was between April and May. Since diligent effort was made to duly pay the issue fee, we hereby request to revive the unavoidably abandoned application.

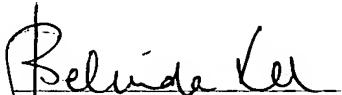
Enclosed herewith please find the following documents for your reference:

- (x) Petition fee of US\$110.00
- (x) Transmittal letter
- (x) Issue Fee transmittal
- (x) Credit card payment form PTO-2038 for issue fee
- (x) Delivery Notification from the UPS
- (x) Certificate of mailing via UPS
- (x) Notice of Abandonment
- (x) Prepaid postcard returned by USPTO

Thank you for your attention and assistance in this matter. If there is any problem, please feel free to contact me.

Respectfully Submitted,  
JIANQ CHYUN Intellectual Property Office

Date: Nov. 27, 2003

By:   
Belinda Lee  
Registration No.: 46,863

Please send future correspondence to:  
7F. -1, No. 100, Roosevelt Rd.,  
Sec. 2, Taipei 100, Taiwan, R.O.C.  
Tel: 886-2-2369 2800  
Fax: 886-2-2369 7233 / 886-2-2369 7234

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**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
10/064,362			

EXAMINER
----------

ART UNIT	PAPER NUMBER
----------	--------------

D146

DATE MAILED:

**NOTICE OF ABANDONMENT**

This application is abandoned in view of:

Applicant's failure to timely file a proper response to the Office letter mailed on \_\_\_\_\_.

A response (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_, which is after the expiration of the period for response (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.

A proposed response was received on \_\_\_\_\_, but it does not constitute a proper response to the final rejection.

(A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.62 (FWC).)

No response has been received.

Applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance.

The issue fee (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_.

The submitted issue fee of \$ \_\_\_\_\_ is insufficient. The issue fee required by 37 CFR 1.18 is \$ \_\_\_\_\_.

The issue fee has not been received.

Applicant's failure to timely file new formal drawings as required in the Notice of Allowability.

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Proposed new formal drawings (with a Certificate of Mailing or Transmission of \_\_\_\_\_) were received on \_\_\_\_\_.

The proposed new formal drawings filed \_\_\_\_\_ are not acceptable.

No proposed new formal drawings have been received.

The express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on \_\_\_\_\_.

The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.

The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.

The decision by the Board of Patent Appeals and Interferences rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.

The reason(s) below:

**ABANDONMENT**

**CONTACT PERSON IS:**

**TOM HAWKINS**

**305-8380**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/064,382	07/09/2002	Hsin-Hung Lee	9048-US-PA	4022

31561 7590 07/17/2003

JIANQ CHYUN INTELLECTUAL PROPERTY OFFICE  
7 FLOOR-1, NO. 100  
ROOSEVELT ROAD, SECTION 2  
TAIPEI, 100  
TAIWAN

EXAMINER

KENNEDY, JENNIFER M

ART UNIT

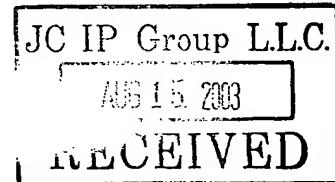
PAPER NUMBER

2812

DATE MAILED: 07/17/2003

PHS

Please find below and/or attached an Office communication concerning this application or proceeding.



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OFFICE OF PETITIONS



United Parcel Service Limited

17 July 2003  
Shipper 6EX119  
Page 3 of 6

ATTN : MS LIU-615  
PHONE : 223692800

**DELIVERY NOTIFICATION**

**FAX RESPONSE**

INQUIRY FROM: MS LIU-615  
JIANQ CHYUN INTELLECTUAL PRO  
7F-1 NO 100 SEC 2 ROOSEVELT  
TAIPEI 10058

SHIPMENT TO: UNITED STATES  
2221 JEFF DAVIS HWY STE 1103  
ARLINGTON VA 22202

Shipper Number.....6EX119

Tracking Identification Number...1Z6EX1196682662373

According to our records 1 parcel was delivered on 30/04/03 at 9:34 A.M.. The shipment was signed for by **BROWN** as follows:

SHIPPER NUMBER	PKG ID NO.	TRACKING NUMBER	ADDRESS (NO/STREET,CITY)	SIGNATURE
6EX119		1Z6EX1196682662373	2221 JEFF DAVIS ARLINGTON	<i>Marko Brown</i>

TPE3MXH

<p>UPS 帳號： 6EX119          稅號/加值稅號：          聯絡人： Mr. Wilson Lee          JIANQ CHYUN IP GROUP          電話： 886-2-2369-2800          7F-1, No. 100, Sec.2 Roosevelt Rd.,          Taipei          10000          TAIWAN</p>	<p><b>UPS EXPRESS</b> 1</p>		UPS / 1Z 6EX 119 66 8266 2373					
	<p><b>貨件資訊</b></p> <table border="1"> <tr> <td>包裹</td> <td>實際重量</td> <td>材積重量</td> </tr> <tr> <td>1</td> <td>文件</td> <td></td> </tr> </table> <p><input checked="" type="checkbox"/> 僅有文件          貨品說明：          DOCUMENTS</p> <p>投保價值：</p> <p>附加手續：          住所: 否          參考 1：          參考 2：</p>		包裹	實際重量	材積重量	1	文件	
包裹	實際重量	材積重量						
1	文件							

*WYR/TH*

----- Fold Here and Place in Pouch -----

貨件中其餘包裹的提單號碼。

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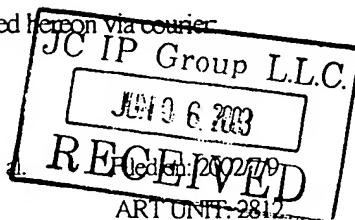
8087 - US - PA  
 9048 - US - PA  
 9334 - US - PA

ISSUE FEE (UTILITY/ DESIGN PATENT)

DATE: May 29, 2003

Received in the U.S. Patent and Trademark Office on the date stamped hereon via courier

UPS EXPRESS TRACKING #: 1Z6EX 11966 826 2373



Attorney Docket #: 09048-US-PA

Applicant(s) : Hsin-Hung Lee, et al.

Appl. No.: 10/064,382

Examiner : Kennedy, Jennifer M.

Title: METHOD OF PREVENTING CATHODE OF ACTIVE MATRIX ORGANIC LIGHT EMITTING DIODE FROM BREAKING

- Issue Fee Transmittal (PTOL-85B)
- Credit Card Payment Form \$ 1609 for Issue Fee, Publication Fee and 3 Soft Copies
- Prepaid Return Postcard.



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Property Office

7F-1, No. 100, Roosevelt Rd., Sec. 2,  
Taipei 100, Taiwan, R.O.C.